

03-29-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

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103/28/01  
PTO/SB/05 (11-00)

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages **39**]  
*30 pgs.*  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table, or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
*8 pgs.*  
- Claim(s)  
*1 pg.*  
- Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets  ]
5. Oath or Declaration [ Total Pages **7** ]  
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6.  Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP)
of prior application No **09 / 232,989**

Prior application information

Examiner **P. Short**Group Art Unit **1712**For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

|   |   |           |    |   |
|---|---|-----------|----|---|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | <b>022202</b><br><small>(Insert Customer No. or Attach bar code label here)</small> |           | or | <input type="checkbox"/> Correspondence address below |
| Name  |   |           |    |   |
| Address   |   |           |    |   |
| City  |   | State     |    | Zip Code  |
| Country   |   | Telephone |    | Fax   |

|                   |                              |                                   |                            |
|-------------------|------------------------------|-----------------------------------|----------------------------|
| Name (Print/Type) | <b>Kristine M. Strothoff</b> | Registration No. (Attorney/Agent) | <b>34259</b>               |
| Signature         | <i>Kristine M. Strothoff</i> |                                   | Date <b>March 28, 2001</b> |

Burden Hour Statement. This form is estimated to take 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

110-1819237  
U.S. PTO  
03/28/01110-1819237  
U.S. PTO  
03/28/01

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# FEE TRANSMITTAL

## for FY 2000

Patent fees are subject to annual revision

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12  
See 37 C.F.R. §§ 1.27 and 1.28

TOTAL AMOUNT OF PAYMENT (\$1,320.00)

## Complete if Known

Application Number

Filing Date

First Named Inventor Chih-Pin Hsu

Examiner Name P. Short

Group / Art Unit 1712

Attorney Docket No. CCP-3342-B

## METHOD OF PAYMENT (check one)

- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number Deposit Account Name  Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.172.  Payment Enclosed: Check  Money  Order  Other

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$)                      | Fee Code (\$) | Fee | Fee    | Fee | Fee Description  | Fee Paid               |
|------------------------------------|---------------|-----|--------|-----|--|------------------------|
| 105                                | 130           | 205 | 65     |     | Surcharge - late filing fee or oath  | 0.00                   |
| 127                                | 50            | 227 | 25     |     | Surcharge - late provisional filing fee or cover sheet.                    | 0.00                   |
| 139                                | 130           | 139 | 130    |     | Non-English specification  | 0.00                   |
| 147                                | 2,520         | 147 | 2,520  |     | For filing a request for reexamination                                     | 0.00                   |
| 112                                | 920*          | 112 | 920*   |     | Requesting publication of SIR prior to Examiner action                     | 0.00                   |
| 113                                | 1,840*        | 113 | 1,840* |     | Requesting publication of SIR after Examiner action                        | 0.00                   |
| 115                                | 110           | 215 | 55     |     | Extension for reply within first month                                     | 0.00                   |
| 116                                | 380           | 216 | 190    |     | Extension for reply within second month                                    | 0.00                   |
| 117                                | 870           | 217 | 435    |     | Extension for reply within third month                                     | 0.00                   |
| 118                                | 1,360         | 218 | 680    |     | Extension for reply within fourth month                                    | 0.00                   |
| 128                                | 1,850         | 228 | 925    |     | Extension for reply within fifth month                                     | 0.00                   |
| 119                                | 300           | 219 | 150    |     | Notice of Appeal   | 0.00                   |
| 120                                | 300           | 220 | 150    |     | Filing a brief in support of an appeal                                     | 0.00                   |
| 121                                | 260           | 221 | 130    |     | Request for oral hearing   | 0.00                   |
| 138                                | 1,510         | 138 | 1,510  |     | Petition to institute a public use proceeding                              | 0.00                   |
| 140                                | 110           | 240 | 55     |     | Petition to revive - unavoidable   | 0.00                   |
| 141                                | 1,210         | 241 | 605    |     | Petition to revive - unintentional   | 0.00                   |
| 142                                | 1,210         | 242 | 605    |     | Utility issue fee (or reissue)   | 0.00                   |
| 143                                | 430           | 243 | 215    |     | Design issue fee   | 0.00                   |
| 144                                | 580           | 244 | 290    |     | Plant issue fee  | 0.00                   |
| 122                                | 130           | 122 | 130    |     | Petitions to the Commissioner  | 0.00                   |
| 123                                | 50            | 123 | 50     |     | Petitions related to provisional applications                              | 0.00                   |
| 126                                | 240           | 126 | 240    |     | Submission of Information Disclosure Stmt                                  | 0.00                   |
| 581                                | 40            | 581 | 40     |     | Recording each patent assignment per property (times number of properties) | 0.00                   |
| 146                                | 690           | 246 | 345    |     | Filing a submission after final rejection (37 CFR § 1.129(a))              | 0.00                   |
| 149                                | 690           | 249 | 345    |     | For each additional invention to be examined (37 CFR § 1.129(b))           | 0.00                   |
| Other fee (specify) _____          |               |     |        |     |  | 0.00                   |
| Other fee (specify) _____          |               |     |        |     |  | 0.00                   |
| * Reduced by Basic Filing Fee Paid |               |     |        |     |  | SUBTOTAL (3) (\$ 0.00) |

## SUBMITTED BY

Complete if applicable

|                   |                              |                                   |       |           |                |
|-------------------|------------------------------|-----------------------------------|-------|-----------|----------------|
| Name (Print/Type) | Kristine M. Strothoff        | Registration No. (Attorney/Agent) | 34259 | Telephone | 414-273-2100   |
| Signature         | <i>Kristine M. Strothoff</i> |                                   |       | Date      | March 28, 2001 |

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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## Addendum

1. Aromatic Polyol End-capped Unsaturated Polyetherester Resins and Resin Compositions Containing the Same Having Improved Chemical and/or Water Resistance